

Commissioner for Patents United States Patent and Trademark Office Washington, D.C. 20231

Carl A. Forest PATTON BOGGS LLP 867 Coal Creek Circle #200 Louisville, CO 80027

In re Application of RUMP, Niels et al

U.S. Application No.: 09/913,695 PCT No.: PCT/EP99/09977

Int. Filing Date: 15 December 1999 Priority Date: 16 February 1999 Attorney Docket No.: 13189.136

For: METHOD AND DEVICE FOR

GENERATING AN ENCRYPTED USER

DATA STREAM . . .

DECISION ON PETITION UNDER 37 CFR 1.47(a)

This is a decision on the "Request for Reconsideration" filed on 11 April 2002.

BACKGROUND

On 06 March 2002, a decision dismissing the petition under 37 CFR 1.47(a) was mailed because applicants failed to satisfy item (2).

On 11 April 2002, applicants submitted the instant request which was accompanied by, *inter alia*, a declaration signed by the missing co-inventor.

DISCUSSION

In the renewed request, applicants declare that the missing co-inventor contacted the German patent attorney and agreed to sign a declaration for the above-identified application. This signed declaration is included with the instant response. Accordingly, applicants petition under 37 CFR 1.47(a) for an inventor who cannot be located is moot.

However, a review of the declaration signed by Mr. Rump reveals that it is not in compliance with 37 CFR 1.497. Specifically, the inventive entity listed is not the same as the inventive entity listed on the international publication, or the declaration filed 11 January 2002 for this application.

While each inventor need not execute the same oath or declaration, each oath or declaration executed by an inventor must contain a complete listing of all inventors so as to clearly indicate what each inventor believes to be the appropriate inventive entity.

In this case, there is only one inventor listed on page 3 of 3 of the declaration submitted with the instant response and signed by Mr. Rump. Three inventors are listed on the declaration submitted in the above-captioned application on 11 January 2002 and the international publication ("WO 00/49762") for PCT/EP99/09977.

Thus, the declaration submitted is <u>not</u> in compliance with 37 CFR 1.497(a)(3) in that it does not properly identify each inventor.

CONCLUSION

For the reasons above, applicants' renewed petition under 37 CFR 1.47(a) is **DISMISSED** as moot.

Applicants must submit a declaration in compliance with 37 CFR 1.497(a) and (b) within **TWO (2) MONTHS** from the mail date of this decision.

Please direct further correspondence with respect to this matter to the Assistant Commissioner for Patents, Box PCT, Washington, D.C. 20231, and address the contents of the letter to the attention of the PCT Legal Office.

Leonard Smith

PCT Legal Examiner

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Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 2023

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Statutory Deadline:

Date Docketed: 5/22/02

Docketed by:

Sec: 50 44

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May 22, 2002

Carl A. Forest 303-379-1114 CForest@pattonboggs.com

VIA US AIRMAIL

Mr. Niels Rump 16 Chatsworth Avenue Bromey, Kent BR1 1DP United Kingdom

RE:

U.S. Patent Application No. 09/913,695

National Phase Based on International Application PCT/EP99/09977

Method And Device For Generating An Encrypted User Data Stream And Method And Device For Playing Back An Encrypted User Data Stream

Inventors:

Niels Rump, Juergen Koller and Karlheinz Brandenburg

Schoppe Ref: FH991204PUS; PB Ref: 13189436 -----

AND

U.S. Patent Application Serial No. 09/913,686

National Phase Based on International Application PCT/EP99/09981

Method And Device For Producing An Encrypted Payload Data Stream And Method And Device For Decrypting An Encrypted Payload Data Stream

Inventors:

Niels Rump, Juergen Koller and Karlheinz Brandenburg

Your Ref:

FH991202PUS; PB Ref: 13189.137

AND

U.S. Patent Application Serial No. 09/913,690

National Phase Based on International Application PCT/EP99/09980

Method And Apparatus For Generating A Data Stream And Method And Apparatus For Playing A Data Stream

Inventors:

Niels Rump, Juergen Koller and Karlheinz Brandenburg

Your Ref:

FH991203PUS; PB Ref: 13189.138

Dear Mr. Rump:

Enclosed for your information please find a copy of the Decision On Petition Under 37 CFR 1.47(a) received in the first application referenced above, Serial No. 09/913,695. Pursuant to the decision by the US Patent Office in this application, we are enclosing three Combined Declaration And Power Of Attorney forms, one for each application, for your signature. The only change on these revised forms is the signature page, where we have added signature blocks for Mr. Koller and Mr. Brandenburg. Please sign and date all three documents in the space next to your name and return them to me immediately in the enclosed Federal Express envelope for filing with the US Patent Office. Please note that the signatures of Mr. Koller and Mr. Brandenburg are not required.

Doc. 6556

PATTON BOGGS LLP

Mr. Niels Rump May 22, 2002 Page 2

If you should have any questions, please do not hesitate to contact me. Thank you for your assistance in this matter.

Very truly yours, PATTON BOGGS LLP

Carl A Forest

Partner

CAF/ecv

Enclosures

cc: Mr. Fritz Schoppe